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FORM PTO-1082

26537.00100

Express Mail Label No. EL845469419US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: application of:

James KLASSEN

Serial No: (Not Assigned; prior appln. 60/267,969)

Filed: February 8, 2002

For: ROTARY POSITIVE DISPLACEMENT DEVICE

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number 28983



Transmitted herewith for filing is the patent application identified above.

- ☒ 24 sheet(s) of drawings (☐ formal ☒ informal) is(are) enclosed.
- ☒ 57 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☒ UNSIGNED Declaration and Power of Attorney ☒ is enclosed ☐ will follow.
- ☐ Request And Certification Under 35 U.S.C. 122(b)(2)(B)(i) for non-publication is enclosed.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ An assignment of the invention to ☐ is enclosed ☐ will follow.
- ☒ Applicant claims small entity status. A letter asserting small entity status ☒ is enclosed ☐ will follow. See 37 CFR 1.27.
- ☐ A certified copy of ☐ Patent Application No. ☐ filed ☐ from which priority is claimed under 35 U.S.C. § 119 is enclosed.
- ☐ IDS enclosed (☐ with ☐ reference(s)).
- ☐ Preliminary Amendment is enclosed.
- ☐ Application data sheet is enclosed. See 37 CFR 1.76.
- ☒ Return postcard is enclosed.

CALCULATION OF FEES						
ITEM	TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ FEE
A TOTAL CLAIMS FEE	37	-20	17	LG=\$18 SM=\$9	\$9	\$153
B INDEPENDENT CLAIMS FEE*	4	-3	1	LG=\$84 SM=\$42	\$42	\$ 42
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						\$195
D MULTIPLE-DEPENDENT CLAIMS FEE				LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140		\$ 0
E BASIC FEE				LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370		\$370
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$565
G ASSIGNMENT RECORDING FEE						\$ 40
*LIST INDEPENDENT CLAIMS 1, 17, 23, 31						\$ 0

- ☒ Please charge Deposit Account No. 50-1796, referencing docket number 26537.00100, the amount of \$ 565.00. A copy of this letter is enclosed.
 - ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
 - ☐ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.
 - ☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1796, referencing docket number 26537.00100.
- A copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16
 - ☒ Any patent application processing fees under 37 C.F.R. 1.17

Date: February 8, 2002

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Respectfully submitted,

CROSBY, HEAFEY, ROACH & MAY

By: JoAnne S. Redmann

JoAnne S. Redmann

Registration No. 31,482

Attorney for Applicant(s)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James KLASSEN

Serial No: (Not Assigned; prior appln. 60/267,969)

Filed: February 8, 2002

For: ROTARY POSITIVE DISPLACEMENT DEVICE

Art Unit: (Not Assigned)

Examiner: (Not Assigned)

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL845469419US

Date of Deposit: February 8, 2002

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☐ check in amount of \$___ as filing fee
- ☒ patent application (57 page(s) of specification; 37 claim(s); 1 page(s) of abstract
- ☒ 24 sheet(s) of informal drawings
- ☒ UNSIGNED Declaration and Power of Attorney
- ☐ assignment of the invention to ___
- ☒ Assertion of Small Entity Status
- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement with ___ references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231.

Date: February 8, 2002

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Kathy Hinckley

Name of person mailing papers

Signature

20030201 5602/001

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February 8, 2002

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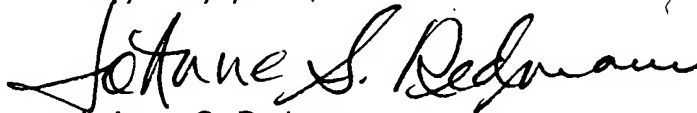
Box PATENT APPLICATION
Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Re: ASSERTION OF SMALL ENTITY STATUS
New U.S. Patent Application based on Provisional Application
No. 60/267,969 filed February 8, 2001
Inventor(s) : James KLASSEN
ROTARY POSITIVE DISPLACEMENT DEVICE
Filed : February 8, 2002
Atty. Docket No. : 26537.00100

Dear Sir or Madam:

The undersigned attorney hereby asserts that the Patent Application entitled "ROTARY POSITIVE DISPLACEMENT DEVICE" by James KLASSEN filed on February 8, 2002 is entitled to Small Entity Status. The required inquiry to establish Small Entity (§ 1.27(a)) status has been made.

Very truly yours,



JoAnne S. Redmann

Reg. No. 31,482

JSR:kh